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PTO/SB/30 (09-03)

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**Request
for
Continued Examination (RCE)
Transmittal.**

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	09/064,596
Filing Date	09/26/2001
First Named Inventor	Mark J. Beck
Art Unit	2834
Examiner Name	Dougherty, Thomas M.
Attorney Docket Number	99-112

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

- i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
ii. Other _____

- b. Enclosed

- i. Amendment/Reply iii. Information Disclosure Statement (IDS)
ii. Affidavit(s)/Declaration(s) iv. Other _____

2. **Miscellaneous**

- a. Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
b. Other _____

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. Deposit Account No. 502204

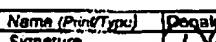
- i. RCE fee required under 37 CFR 1.17(e)
ii. Extension of time fee (37 CFR 1.136 and 1.17)
iii. Other _____

b. Check in the amount of \$ _____ enclosed

c. Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Donald L. Pagel	Registration No. (Attorney/Agent)	32,832
Signature		Date	10-27-03

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that the correspondence is being deposited with the United States Postal Service with sufficient postage at first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

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This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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11/17/2003 DEVANS 00000002 502204 09964596
01 FC:1801 770.00 CR

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01/08/2004 EEKUBAY1 000000019 502204 09964596

01 FC:2801 385.00 CR

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PATENT TRADEMARK & COPYRIGHT LAW

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FACSIMILE TRANSMISSION COVER SHEET

This transmission consists of 4 pages including this cover sheet.

DATE SENT: January 5, 2004

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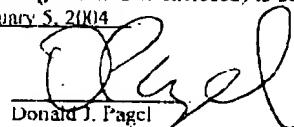
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Beck et al.

Att. Docket No.: 99-112

Application No.: 09/964,596

Art Unit: 2834

Filed: Sept. 26, 2001

Examiner: Dougherty, T.

For: "Megasonic Transducer With Focused Energy Resonator"

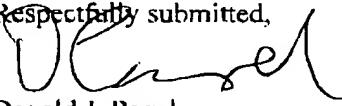
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Please refund \$410.00 to me for this application. On November 17, 2003, my deposit account (Account No. 502204) was incorrectly charged the "large entity" fee for a Request for Continued Examination. The amount of this overcharge was \$385 and also resulted in a \$25 service charge fee. The attached filing fee receipt and deposit account statement clearly show that that the applicant in this case has small entity status and that the overcharges occurred. Therefore, please credit my deposit account in the amount of \$410.00.

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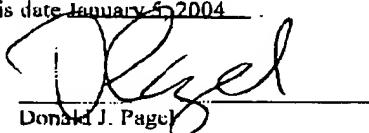

Donald J. Pagel

Reg. No. 32,832

January 5, 2004
Law Office of Donald J. Pagel
586 N. First Street, Suite 207
San Jose, CA 95112
(408) 995-0881

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99-112 refund04.15



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/964,596	09/26/2001	2834	355	99-112	7	18	3

CONFIRMATION NO. 2388

UPDATED FILING RECEIPT



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Donald J. Pagel
Suite 207
586 N. First Street
San Jose, CA 95112

Date Mailed: 03/28/2002

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Applicant(s)

Mark J. Beck, Los Gatos, CA;
Richard B. Vennerbeck, Los Gatos, CA;

Assignment For Published Patent Application

Product Systems Incorporated, Campbell, CA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/841,703 04/23/2001

Foreign Applications

If Required, Foreign Filing License Granted 10/26/2001

Projected Publication Date: 10/24/2002

Non-Publication Request: No

Early Publication Request: No

" SMALL ENTITY "

Title

Megasonic transducer with focused energy resonator

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PAGE 4/4

Deposit Account Statement

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November 2003

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95112

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11/17 2	08964596	99-112	1801	\$770.00	\$729.00
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